

# Minnesota Background Studies 2018-2019 Data Overview Part 4 – Supplement 2

The information above each section of tables is intended to provide context and understanding on what data is included in each respective section. There are [Additional Notes and Data Definitions](#) at the end of this packet with more information on specific terms and data points.

## Section 1: Count of determinations with reconsideration requested or previous set aside considered

[Section 1](#) provides simplified versions of tables 2, 2a, and 2b from data overview 4 in order to decrease the number of data cells with <10 as requested by the taskforce data committee. In the tables below, reconsideration request outcomes have been grouped into three categories as shown below:

- **Applicant eligible to work:** Includes the outcomes of Previous Set Aside, Remains Set Aside, Rescind in Total, Set Aside, Limited Set Aside, and Not Set Aside – Variance Granted
- **Applicant not eligible to work:** Includes the outcomes of Withdrawn, Correctness Affirmed, and Not Set Aside
- **In Process:** Includes In Process determinations

All counts in [Table 1](#), [Table 1a](#), and [Table 1b](#) are distinct counts of determinations, which means the sum of each cell in a given column or row may not add up to the total for that row or column.

All counts for a given date range (year in this case) were defined by determinations completed in that year. This date convention is used in all sections of this overview.

Table 1: Count of determinations with reconsideration requested or previous set aside considered by race/ethnicity, gender, year, and outcome – All genders

| Year/Outcome                 | African American | Asian or Pacific Islander | Native American | None Provided | Unknown/Other | White | Grand Total |
|------------------------------|------------------|---------------------------|-----------------|---------------|---------------|-------|-------------|
| 2018                         | 835              | 48                        | 94              | 17            | 183           | 1,701 | 2,878       |
| Applicant eligible to work   | 573              | 41                        | 52              | <10           | 131           | 1,212 | 2,012       |
| Applicant ineligible to work | 262              | <10                       | 41              | 14            | 54            | 493   | 871         |
| In process                   | <10              |                           | <10             |               |               |       | <10         |
| 2019                         | 1,257            | 79                        | 155             | 97            | 320           | 2,312 | 4,220       |
| Applicant eligible to work   | 705              | 55                        | 99              | 37            | 222           | 1,535 | 2,653       |
| Applicant ineligible to work | 553              | 24                        | 57              | 60            | 98            | 783   | 1,575       |
| In process                   | <10              |                           |                 |               | <10           | <10   | 10          |
| Grand Total                  | 2,092            | 127                       | 249             | 114           | 503           | 4,013 | 7,098       |

Table 1a: Count of determinations with reconsideration requested or previous set aside considered by race/ethnicity, gender, year, and outcome – Male

| Year/Outcome                 | African American | Asian or Pacific Islander | Native American | None Provided | Unknown/Other | White | Grand Total |
|------------------------------|------------------|---------------------------|-----------------|---------------|---------------|-------|-------------|
| 2018                         | 235              | 25                        | 22              | 0             | 59            | 466   | 807         |
| Applicant eligible to work   | 142              | 23                        | <10             | 0             | 31            | 298   | 503         |
| Applicant ineligible to work | 93               | <10                       | 13              | 0             | 28            | 168   | 304         |
| In process                   | <10              |                           |                 | 0             |               |       | <10         |
| 2019                         | 479              | 49                        | 36              |               | 101           | 613   | 1278        |
| Applicant eligible to work   | 249              | 32                        | 20              | 0             | 72            | 390   | 763         |
| Applicant ineligible to work | 230              | 17                        | 16              | 0             | 28            | 226   | 517         |
| In process                   | <10              |                           |                 | 0             | <10           |       | <10         |
| Grand Total                  | 714              | 74                        | 58              | 0             | 160           | 1079  | 2085        |

Table 1b: Count of determinations with reconsideration requested or previous set aside considered by race/ethnicity, gender, year, and outcome – Female

| Year/Outcome                 | African American | Asian or Pacific Islander | Native American | None Provided | Unknown/Other | White | Grand Total |
|------------------------------|------------------|---------------------------|-----------------|---------------|---------------|-------|-------------|
| 2018                         | 600              | 23                        | 72              | <10           | 123           | 1233  | 2052        |
| Applicant eligible to work   | 431              | 18                        | 43              |               | 99            | 912   | 1503        |
| Applicant ineligible to work | 169              | <10                       | 28              | <10           | 26            | 325   | 554         |
| In process                   | <10              |                           | <10             |               |               |       | <10         |
| 2019                         | 778              | 30                        | 119             |               | 206           | 1697  | 2830        |
| Applicant eligible to work   | 456              | 23                        | 79              |               | 140           | 1143  | 1841        |
| Applicant ineligible to work | 323              | <10                       | 41              |               | 67            | 557   | 995         |
| In process                   | <10              |                           |                 |               |               | <10   | <10         |
| Grand Total                  | 1378             | 53                        | 191             | <10           | 329           | 2930  | 4882        |

## Section 2: Reconsideration requests by outcome and provider type

Similar to [Section 1](#), [Section 2](#) provides a simplified version of table 6 from data overview 4 in order to decrease the number of data cells with <10 as requested by the taskforce data committee. The outcome types included in the “Applicant eligible to work”, “Applicant ineligible to work” and “In process” categories are the same as described in [Section 1](#).

All counts in [Table 2](#) are distinct counts of determinations, which means the sum of each cell in a given column or row may not add up to the total for that row or column.

Table 2: Count of determinations with reconsideration requested or previous set aside considered by year, and outcome, and provider

| Year/Agency/Provider Type                       | Applicant eligible to work | Applicant ineligible to work | In process | Grand Total |
|---|----------------------------|------------------------------|------------|-------------|
| 2018  | 2,012                      | 871                          | <10        | 2,878       |
| Minnesota Department of Corrections             | <10                        | <10                          |            | 12          |
| DOC Children's Residential Facility             | <10                        |                              |            | <10         |
| DOC Children's Residential Facility - Title IVE | <10                        | <10                          |            | <10         |
| DOC Corporate Child Foster Care                 | <10                        |                              |            | <10         |
| Minnesota Department of Health                  | 826                        | 256                          | <10        | 1,080       |
| Board and Lodging                               | 73                         | 19                           |            | 92          |
| Boarding Care                                   | <10                        |                              |            | <10         |
| Boarding Care-Home Care Provider                | <10                        |                              |            | <10         |
| Home Care Provider                              | 307                        | 109                          | <10        | 416         |
| Hospice Care                                    | <10                        |                              |            | <10         |
| Hospital  | 108                        | 23                           |            | 131         |
| Hospital-Nursing Home                           | 14                         | <10                          |            | 18          |
| MDH Educational Program                         | 90                         | 18                           | <10        | 107         |
| MDH Temporary Employment/Personnel Agency       | <10                        | <10                          |            | 15          |
| Nursing Home                                    | 191                        | 70                           | <10        | 260         |
| Nursing Home-Boarding Care                      | <10                        | <10                          |            | <10         |
| Outpatient Surgery                              | 15                         | <10                          |            | 16          |
| Supplemental Nursing Services Agencies          | 11                         | <10                          | <10        | 16          |

| Year/Agency/Provider Type                       | Applicant eligible to work | Applicant ineligible to work | In process | Grand Total |
|---|----------------------------|------------------------------|------------|-------------|
| Minnesota Department of Human Services          | 1,177                      | 612                          | <10        | 1,786       |
| Adult Day Care Center                           | 19                         | <10                          |            | 20          |
| Children's Therapeutic Services and Supports    | <10                        | <10                          |            | <10         |
| Corporate Adult Foster Care                     | <10                        | <10                          |            | <10         |
| Detoxification Program                          | 13                         | <10                          |            | 16          |
| DHS Child Foster Care                           | 32                         | 137                          |            | 169         |
| DHS Children's Residential Facility             | <10                        | <10                          |            | 16          |
| DHS Children's Residential Facility - Title IVE | <10                        | <10                          |            | <10         |
| DHS Corporate Child Foster Care                 | 31                         | 11                           |            | 42          |
| DHS Educational Program                         | 16                         | 16                           |            | 32          |
| DHS Temporary Employment/Personnel Agency       | <10                        | <10                          |            | <10         |
| Family Adult Foster Care                        | <10                        | <10                          |            | <10         |
| Fiscal Management Services                      | <10                        | <10                          |            | <10         |
| Home and Community-Based Services               | 510                        | 182                          | <10        | 692         |
| Housing Support                                 | 26                         | <10                          |            | 33          |
| Licensed Child Care Center                      | 63                         | 29                           |            | 91          |
| Personal Care Provider Organization             | 146                        | 102                          |            | 247         |
| Private Child Caring or Placing Agency          | <10                        | <10                          |            | <10         |
| Residential Mental Health                       | 11                         | <10                          |            | 12          |
| Sex Offender Treatment Program                  | <10                        |                              |            | <10         |
| Substance Use Disorder Treatment                | 201                        | 52                           |            | 253         |
| Transportation Provider                         | 31                         | 13                           |            | 44          |
| Unlicensed Consumer-Directed Community Supports | 44                         | 38                           |            | 82          |
| Unlicensed Home and Community-Based Services    | <10                        |                              |            | <10         |

| Year/Agency/Provider Type                       | Applicant eligible to work | Applicant ineligible to work | In process | Grand Total |
|---|----------------------------|------------------------------|------------|-------------|
| 2019  | 2,653                      | 1,575                        | 10         | 4,220       |
| Minnesota Department of Corrections             | <10                        | <10                          |            | 10          |
| DOC Children's Residential Facility             | <10                        |                              |            | <10         |
| DOC Children's Residential Facility - Title IVE | <10                        | <10                          |            | <10         |
| DOC Corporate Child Foster Care                 | <10                        | <10                          |            | <10         |
| Minnesota Department of Health                  | 1,108                      | 518                          | <10        | 1,616       |
| Board and Lodging                               | 62                         | 30                           |            | 92          |
| Boarding Care                                   | <10                        |                              |            | <10         |
| Boarding Care-Home Care Provider                |                            | <10                          |            | <10         |
| Contingency Staffing                            |                            | <10                          |            | <10         |
| Home Care Provider                              | 428                        | 260                          | <10        | 685         |
| Hospice Care                                    | <10                        | <10                          |            | <10         |
| Hospital  | 165                        | 50                           |            | 212         |
| Hospital-Nursing Home                           | 15                         | <10                          |            | 21          |
| MDH Educational Program                         | 109                        | 28                           |            | 137         |
| MDH Temporary Employment/Personnel Agency       | 26                         | <10                          |            | 33          |
| Nursing Home                                    | 248                        | 123                          | <10        | 367         |
| Nursing Home-Boarding Care                      | <10                        | <10                          |            | <10         |
| Outpatient Surgery                              | 12                         |                              |            | 12          |
| Supplemental Nursing Services Agencies          | 32                         | 10                           |            | 42          |

| Year/Agency/Provider Type                       | Applicant eligible to work | Applicant ineligible to work | In process | Grand Total  |
|---|----------------------------|------------------------------|------------|--------------|
| Minnesota Department of Human Services          | 1,538                      | 1,054                        | <10        | 2,594        |
| Adult Day Care Center                           | <10                        | <10                          |            | <10          |
| Certified License-Exempt Child Care Center      | 11                         | <10                          |            | 14           |
| Children's Therapeutic Services and Supports    | <10                        | <10                          |            | <10          |
| Corporate Adult Foster Care                     | <10                        | <10                          |            | <10          |
| Detoxification Program                          | 11                         | <10                          |            | 16           |
| DHS Child Foster Care                           | 41                         | 185                          | <10        | 227          |
| DHS Children's Residential Facility             | <10                        | <10                          |            | 11           |
| DHS Children's Residential Facility - Title IVE | 15                         | <10                          |            | 23           |
| DHS Corporate Child Foster Care                 | 47                         | 26                           |            | 73           |
| DHS Educational Program                         | 31                         | 12                           |            | 43           |
| DHS Temporary Employment - Child Care           |                            | <10                          |            | <10          |
| Family Adult Foster Care                        | <10                        | <10                          |            | 10           |
| Fiscal Management Services                      | 84                         | 33                           |            | 116          |
| Home and Community-Based Services               | 500                        | 364                          | <10        | 869          |
| Housing Support                                 | 20                         | <10                          |            | 28           |
| Legal Non-Licensed Family Child Care            |                            | <10                          |            | <10          |
| Licensed Child Care Center                      | 111                        | 35                           |            | 146          |
| Licensed Family Child Care                      | <10                        | 14                           |            | 20           |
| Personal Care Provider Organization             | 225                        | 207                          |            | 430          |
| Private Child Caring or Placing Agency          | <10                        |                              |            | <10          |
| Residential Mental Health                       | 16                         | <10                          |            | 21           |
| Residential Services-Physically Disabled        | <10                        |                              |            | <10          |
| Sex Offender Treatment Program                  | <10                        | <10                          |            | <10          |
| Substance Use Disorder Treatment                | 304                        | 92                           |            | 396          |
| Transportation Provider                         | 44                         | 13                           |            | 57           |
| Unlicensed Consumer-Directed Community Supports | 36                         | 22                           |            | 58           |
| Unlicensed Home and Community-Based Services    | <10                        | <10                          |            | <10          |
| Withdrawal Management                           | <10                        | <10                          |            | <10          |
| <b>Grand Total</b>                              | <b>4,665</b>               | <b>2,446</b>                 | <b>16</b>  | <b>7,098</b> |

### Section 3: Count of disqualifying determinations by reconsideration request outcome and offense type.

Similar to [Section 1](#) and [Section 2](#), Section 3 provides a simplified version of table 1 from data Overview 4 – Supplement 1 in order to decrease the number of data cells with <10 as requested by the taskforce data committee. The outcome types included in the “Applicant eligible to work”, “Applicant ineligible to work” and “In process” categories are the same as described in [Section 1](#). However, as noted in Overview 4 – Supplement 1, determinations with an outcome of rescind in total are not included in Table 3 as their disqualifying crimes and conduct were removed from their record making it impossible to report on the offense level data for these determinations. In addition, there were 16 of the determinations with a reconsideration request outcome that could not be disaggregated at the offense level, as noted in Overview 3 Section 1. In total 201 determinations resulted in a reconsideration request outcome of “rescind in total” and an additional 16 determinations could not be disaggregated at the offense level, leading to a difference of 217 distinct determinations between [Table 2](#) above and [Table 3](#) below.

All counts in [Table 3](#) are distinct counts of determinations and a single determination can have more than 1 disqualifying crime or conduct, which means the sum of each cell in a given column or row may not add up to the total for that row or column.

Table 3: Count of disqualifying determinations by reconsideration request by offense

| Year/Crime or Conduct  | Applicant eligible to work | Applicant ineligible to work | In Process    | Grand Total  |
|--|----------------------------|------------------------------|---------------|--------------|
| <b>2018</b>  | <b>2,099</b>               | <b>724</b>                   | <b>&lt;10</b> | <b>2,816</b> |
| 152.021 - CONTROLLED SUBSTANCE CRIME IN THE 1ST DEGREE   | 76                         | 17                           |               | 93           |
| 152.022 - CONTROLLED SUBSTANCE CRIME IN THE 2ND DEGREE   | 50                         | <10                          |               | 58           |
| 152.023 - CONTROLLED SUBSTANCE CRIME IN THE 3RD DEGREE   | 76                         | 24                           |               | 100          |
| 152.024 - CONTROLLED SUBSTANCE CRIME IN THE 4TH DEGREE   | 15                         | <10                          |               | 21           |
| 152.025 - CONTROLLED SUBSTANCE CRIME IN THE 5TH DEGREE   | 227                        | 97                           |               | 323          |
| 152.027 - OTHER CONTROLLED SUBSTANCE OFFENSES  | <10                        |                              |               | <10          |
| 169A.20 - FELONY DRIVING WHILE IMPAIRED  | 59                         | 12                           |               | 69           |
| 169A.24 - FELONY FIRST DEGREE DRIVING WHILE IMPAIRED   | <10                        | <10                          |               | <10          |
| 256.98 - WRONGFULLY OBTAINING ASSISTANCE (WOA)   | 54                         | <10                          |               | 61           |
| 260C.301 - INVOLUNTARY TERMINATION OF PARENTAL RIGHTS  | <10                        | <10                          |               | <10          |
| 268.182 - APPLICANT'S FALSE REPRESENTATION; CONCEALMENT OF FACTS                               | <10                        | <10                          |               | <10          |
| 518B.01 - DOMESTIC ABUSE ACT.  | 20                         | 16                           |               | 36           |
| 609.19 - MURDER IN THE 2ND DEGREE  |                            | <10                          |               | <10          |
| 609.21 - CRIMINAL VEHICULAR HOMICIDE OR INJURY   | 25                         | <10                          |               | 27           |
| 609.2113 - CRIMINAL VEHICULAR OPERATION; BODILY HARM   | <10                        | <10                          |               | <10          |
| 609.221 - ASSAULT IN THE 1ST DEGREE  |                            | <10                          |               | <10          |
| 609.222 - ASSAULT IN THE 2ND DEGREE  | <10                        | 14                           |               | 15           |
| 609.223 - ASSAULT IN THE 3RD DEGREE  | 19                         | <10                          |               | 21           |
| 609.2231 - ASSAULT IN THE 4TH DEGREE (VULNERABLE ADULTS, PEACE OFFICERS, TRANSIT DRIVERS, ETC) | 26                         | <10                          |               | 32           |
| 609.224 - ASSAULT IN THE 5TH DEGREE  | 58                         | 30                           |               | 88           |
| 609.2242 - DOMESTIC ASSAULT  | 293                        | 71                           | <10           | 365          |



| Year/Crime or Conduct  | Applicant eligible to work | Applicant ineligible to work | In Process | Grand Total |
|--|----------------------------|------------------------------|------------|-------------|
| 609.2247 - DOMESTIC ASSAULT BY STRANGULATION                         |                            | <10                          |            | <10         |
| 609.233 - CRIMINAL NEGLECT OF A VULNERABLE ADULT                     | <10                        | <10                          |            | <10         |
| 609.2335 - FINANCIAL EXPLOITATION OF A VULNERABLE ADULT              | <10                        | <10                          |            | <10         |
| 609.24 - SIMPLE ROBBERY  | <10                        | <10                          |            | <10         |
| 609.245 - AGGRAVATED ROBBERY   |                            | <10                          |            | <10         |
| 609.25 - KIDNAPPING  | <10                        |                              |            | <10         |
| 609.27 - COERCION  |                            | <10                          |            | <10         |
| 609.322 - SOLICITATION, INDUCEMENT, AND/OR PROMOTION OF PROSTITUTION |                            | <10                          |            | <10         |
| 609.324 - PROSTITUTION-OTHER PROHIBITED ACTS                         |                            | <10                          |            | <10         |
| 609.342 - CRIMINAL SEXUAL CONDUCT IN THE 1ST DEGREE                  |                            | <10                          |            | <10         |
| 609.343 - CRIMINAL SEXUAL CONDUCT IN THE 2ND DEGREE                  |                            | <10                          |            | <10         |
| 609.345 - CRIMINAL SEXUAL CONDUCT IN THE 4TH DEGREE                  |                            | <10                          |            | <10         |
| 609.3451 - CRIMINAL SEXUAL CONDUCT IN THE 5TH DEGREE                 |                            | <10                          |            | <10         |
| 609.377 - MALICIOUS PUNISHMENT OF A CHILD                            | <10                        | <10                          |            | <10         |
| 609.378 - NEGLECT OR ENDANGERMENT OF A CHILD                         | 11                         | <10                          |            | 16          |
| 609.495 - AIDING AN OFFENDER   | <10                        | <10                          |            | <10         |
| 609.52 - THEFT   | 525                        | 192                          |            | 716         |
| 609.521 - POSSESSION OF SHOPLIFTING GEAR                             | <10                        | <10                          |            | <10         |
| 609.527 - IDENTITY THEFT   | <10                        | <10                          |            | <10         |
| 609.53 - RECEIVING STOLEN PROPERTY                                   | 40                         | <10                          |            | 44          |
| 609.535 - ISSUANCE OF DISHONORED CHECKS                              | 61                         | <10                          |            | 68          |

| Year/Crime or Conduct   | Applicant eligible to work | Applicant ineligible to work | In Process | Grand Total |
|---|----------------------------|------------------------------|------------|-------------|
| 609.582 - BURGLARY  | 52                         | <10                          |            | 58          |
| 609.59 - POSSESSION OF BURGLARY TOOLS                           | <10                        |                              |            | <10         |
| 609.611 - INSURANCE FRAUD                                       | <10                        | <10                          |            | <10         |
| 609.625 - AGGRAVATED FORGERY                                    | <10                        |                              |            | <10         |
| 609.63 - FORGERY  | <10                        | <10                          |            | 14          |
| 609.631 - CHECK FORGERY; OFFERING A FORGED CHECK                | 53                         | 15                           |            | 68          |
| 609.66 - DANGEROUS WEAPONS                                      | <10                        | <10                          |            | 11          |
| 609.71 - RIOT   | <10                        | <10                          |            | <10         |
| 609.713 - TERRORISTIC THREATS                                   | 31                         | <10                          |            | 39          |
| 609.746 - INTERFERENCE WITH PRIVACY                             |                            | <10                          |            | <10         |
| 609.749 - HARASSMENT; STALKING                                  | 10                         | <10                          |            | 15          |
| 609.79 - OBSCENE OR HARASSING TELEPHONE CALLS                   | <10                        | <10                          |            | <10         |
| 609.821 - FINANCIAL TRANSACTION CARD FRAUD                      | 29                         | 12                           |            | 41          |
| 617.23 - INDECENT EXPOSURE                                      | <10                        |                              |            | <10         |
| 624.713 - CERTAIN PERSONS NOT TO POSSESS FIREARMS               | 10                         |                              |            | 10          |
| 626.556 - RECURRING MALTREATMENT - MINOR                        | 33                         | 19                           |            | 51          |
| 626.556 - SERIOUS AND RECURRING MALTREATMENT - MINOR            | <10                        | <10                          |            | <10         |
| 626.556 - SERIOUS MALTREATMENT - MINOR                          | 101                        | 18                           |            | 119         |
| 626.557 - FAILURE TO REPORT MALTREATMENT - VULNERABLE ADULT     | <10                        |                              |            | <10         |
| 626.557 - RECURRING MALTREATMENT - VULNERABLE ADULT             | <10                        | 28                           | <10        | 37          |
| 626.557 - SERIOUS AND RECURRING MALTREATMENT - VULNERABLE ADULT | <10                        | <10                          |            | <10         |
| 626.557 - SERIOUS MALTREATMENT - VULNERABLE ADULT               | 49                         | 13                           | <10        | 60          |

| Year/Crime or Conduct   | Applicant eligible to work | Applicant ineligible to work | In Process | Grand Total |
|---|----------------------------|------------------------------|------------|-------------|
| 2019  | 2,687                      | 1,384                        | 10         | 4,065       |
| 152.021 - CONTROLLED SUBSTANCE CRIME IN THE 1ST DEGREE  | 76                         | 30                           |            | 106         |
| 152.022 - CONTROLLED SUBSTANCE CRIME IN THE 2ND DEGREE  | 53                         | 19                           |            | 72          |
| 152.023 - CONTROLLED SUBSTANCE CRIME IN THE 3RD DEGREE  | 104                        | 26                           |            | 130         |
| 152.024 - CONTROLLED SUBSTANCE CRIME IN THE 4TH DEGREE  | 32                         | <10                          |            | 39          |
| 152.025 - CONTROLLED SUBSTANCE CRIME IN THE 5TH DEGREE  | 307                        | 182                          |            | 489         |
| 152.0261 - ATTEMPT/CONSPIRACY - MARIJUANA -<br>IMPORTATION/EXPORTATION                            | <10                        |                              |            | <10         |
| 152.097 - SIMULATED CONTROLLED SUBSTANCES   | <10                        | <10                          |            | <10         |
| 152.137 - METHAMPHETAMINE-RELATED CRIMES INVOLVING CHILDREN OR<br>VULNERABLE ADULTS               | 10                         | <10                          |            | 13          |
| 169A.20 - FELONY DRIVING WHILE IMPAIRED   | 72                         | 22                           |            | 94          |
| 169A.24 - FELONY FIRST DEGREE DRIVING WHILE IMPAIRED  | <10                        | <10                          |            | <10         |
| 256.98 - WRONGFULLY OBTAINING ASSISTANCE (WOA)  | 88                         | 30                           |            | 118         |
| 260C.301 - INVOLUNTARY TERMINATION OF PARENTAL RIGHTS   | <10                        | <10                          |            | <10         |
| 268.182 - APPLICANT'S FALSE REPRESENTATION; CONCEALMENT OF FACTS                                  | <10                        | <10                          |            | <10         |
| 518B.01 - DOMESTIC ABUSE ACT.   | 56                         | 21                           |            | 77          |
| 609.165 - FELON INELIGIBLE TO POSSESS FIREARM   | <10                        | <10                          |            | <10         |
| 609.185 - MURDER IN THE 1ST DEGREE  |                            | <10                          |            | <10         |
| 609.20 - MANSLAUGHTER IN THE 1ST DEGREE   |                            | <10                          |            | <10         |
| 609.21 - CRIMINAL VEHICULAR HOMICIDE OR INJURY  | 50                         | <10                          |            | 57          |
| 609.2113 - CRIMINAL VEHICULAR OPERATION; BODILY HARM  | 15                         | <10                          |            | 21          |
| 609.221 - ASSAULT IN THE 1ST DEGREE   | <10                        | <10                          |            | <10         |
| 609.222 - ASSAULT IN THE 2ND DEGREE   | <10                        | 24                           |            | 29          |
| 609.223 - ASSAULT IN THE 3RD DEGREE   | 29                         | 10                           |            | 39          |
| 609.2231 - ASSAULT IN THE 4TH DEGREE (VULNERABLE ADULTS, PEACE<br>OFFICERS, TRANSIT DRIVERS, ETC) | 20                         | 14                           |            | 34          |
| 609.224 - ASSAULT IN THE 5TH DEGREE   | 120                        | 73                           |            | 193         |

| Year/Crime or Conduct  | Applicant eligible to work | Applicant ineligible to work | In Process | Grand Total |
|--|----------------------------|------------------------------|------------|-------------|
| 609.2242 - DOMESTIC ASSAULT  | 293                        | 110                          |            | 402         |
| 609.2247 - DOMESTIC ASSAULT BY STRANGULATION                         |                            | <10                          |            | <10         |
| 609.2325 - CRIMINAL ABUSE OF A VULNERABLE ADULT                      | <10                        | <10                          |            | <10         |
| 609.233 - CRIMINAL NEGLECT OF A VULNERABLE ADULT                     | <10                        |                              |            | <10         |
| 609.2335 - FINANCIAL EXPLOITATION OF A VULNERABLE ADULT              | <10                        | <10                          |            | <10         |
| 609.24 - SIMPLE ROBBERY  | 16                         | <10                          |            | 20          |
| 609.245 - AGGRAVATED ROBBERY   |                            | 15                           |            | 15          |
| 609.25 - KIDNAPPING  | <10                        |                              |            | <10         |
| 609.322 - SOLICITATION, INDUCEMENT, AND/OR PROMOTION OF PROSTITUTION |                            | <10                          |            | <10         |
| 609.324 - PROSTITUTION-OTHER PROHIBITED ACTS                         |                            | <10                          |            | <10         |
| 609.33 - DISORDERLY HOUSE  | <10                        |                              |            | <10         |
| 609.342 - CRIMINAL SEXUAL CONDUCT IN THE 1ST DEGREE                  |                            | <10                          |            | <10         |
| 609.343 - CRIMINAL SEXUAL CONDUCT IN THE 2ND DEGREE                  |                            | <10                          |            | <10         |
| 609.344 - CRIMINAL SEXUAL CONDUCT IN THE 3RD DEGREE                  | <10                        | <10                          |            | <10         |
| 609.345 - CRIMINAL SEXUAL CONDUCT IN THE 4TH DEGREE                  |                            | <10                          |            | <10         |
| 609.3451 - CRIMINAL SEXUAL CONDUCT IN THE 5TH DEGREE                 |                            | <10                          |            | <10         |
| 609.352 - SOLICITATION OF CHILDREN TO ENGAGE IN SEXUAL CONDUCT       |                            | <10                          |            | <10         |
| 609.377 - MALICIOUS PUNISHMENT OF A CHILD                            | 13                         | <10                          |            | 21          |
| 609.378 - NEGLECT OR ENDANGERMENT OF A CHILD                         | 21                         | <10                          |            | 29          |
| 609.495 - AIDING AN OFFENDER   | 18                         | <10                          |            | 24          |
| 609.498 - TAMPERING WITH WITNESS                                     | <10                        |                              |            | <10         |
| 609.52 - THEFT   | 694                        | 387                          | <10        | 1,081       |
| 609.527 - IDENTITY THEFT   | <10                        | <10                          |            | 15          |
| 609.53 - RECEIVING STOLEN PROPERTY                                   | 44                         | <10                          | <10        | 49          |
| 609.535 - ISSUANCE OF DISHONORED CHECKS                              | 137                        | <10                          |            | 145         |

| Year/Crime or Conduct   | Applicant eligible to work | Applicant ineligible to work | In Process | Grand Total |
|---|----------------------------|------------------------------|------------|-------------|
| 609.561 - ARSON IN THE 1ST DEGREE                               |                            | <10                          |            | <10         |
| 609.562 - ARSON IN THE 2ND DEGREE                               | <10                        |                              |            | <10         |
| 609.563 - ARSON IN THE 3RD DEGREE                               | <10                        |                              |            | <10         |
| 609.582 - BURGLARY  | 51                         | 22                           |            | 73          |
| 609.59 - POSSESSION OF BURGLARY TOOLS                           | <10                        |                              |            | <10         |
| 609.611 - INSURANCE FRAUD                                       | <10                        | <10                          |            | <10         |
| 609.625 - AGGRAVATED FORGERY                                    | <10                        |                              |            | <10         |
| 609.63 - FORGERY  | <10                        |                              |            | <10         |
| 609.631 - CHECK FORGERY; OFFERING A FORGED CHECK                | 49                         | <10                          |            | 56          |
| 609.66 - DANGEROUS WEAPONS                                      | 10                         | <10                          |            | 12          |
| 609.666 - NEGLIGENT STORAGE OF FIREARMS                         | <10                        | <10                          |            | <10         |
| 609.71 - RIOT   | <10                        | <10                          |            | <10         |
| 609.713 - TERRORISTIC THREATS                                   | 35                         | 15                           |            | 50          |
| 609.749 - HARASSMENT; STALKING                                  | <10                        | <10                          |            | 12          |
| 609.79 - OBSCENE OR HARASSING TELEPHONE CALLS                   | <10                        | <10                          |            | <10         |
| 609.821 - FINANCIAL TRANSACTION CARD FRAUD                      | 50                         | 32                           |            | 82          |
| 617.23 - INDECENT EXPOSURE                                      | <10                        | <10                          |            | <10         |
| 624.713 - CERTAIN PERSONS NOT TO POSSESS FIREARMS               | <10                        | <10                          |            | <10         |
| 626.556 - RECURRING MALTREATMENT - MINOR                        | 30                         | 20                           |            | 49          |
| 626.556 - SERIOUS AND RECURRING MALTREATMENT - MINOR            | 15                         | 29                           | <10        | 44          |
| 626.556 - SERIOUS MALTREATMENT - MINOR                          | 67                         | 60                           | <10        | 126         |
| 626.557 - RECURRING MALTREATMENT - VULNERABLE ADULT             | 13                         | 50                           | <10        | 62          |
| 626.557 - SERIOUS AND RECURRING MALTREATMENT - VULNERABLE ADULT | <10                        | <10                          | <10        | <10         |
| 626.557 - SERIOUS MALTREATMENT - VULNERABLE ADULT               | 15                         | 22                           |            | 37          |
| Grand Total   | 4,786                      | 2,108                        | 16         | 6,881       |

## Additional Notes and Data Definitions

1. Background Study **data sources** can include, but are not limited to, state criminal history, federal criminal history, Minnesota Court Information System (MNCIS), Minnesota child and adult maltreatment registries, and criminal or maltreatment records from other states.
2. An **eligible determination** means the background study subject was **not** determined to have committed a disqualifying crime or conduct in [Minn. Stat. sec. 245C.15](#)
3. A **disqualified determination** means the background study subject was determined responsible for a disqualifying crime or conduct in [Minn. Stat. sec. 245C.15](#)
4. A **disqualification rescinded – determination now eligible** determination means the initial determination was disqualifying and became eligible upon appeal.
5. A **background study provider application** is the record created by the provider when submitting a background study request. Background study provider applications can be created by providers at any time. If there is already another background study determination in process for the same person, the new background study application will connect to the in process background study determination (provided the background study requirements are met). In addition, existing background study determinations can transfer to new background study provider application submissions. The connection of background study provider applications to existing background study determinations creates efficiencies for study subjects and providers.
6. For an **undetermined determination**, DHS reviews information that was received but does not make an eligibility or disqualification decision on the determination (hence the determination is “undetermined”).
7. **Active roster** means the list of individuals specific to an entity who have been determined eligible to provide services for the entity and who the entity has identified as affiliated. See [Minn. Stat. sec. 245C.02 subd. 17a \(b\)](#)
8. A **rapback notification** (Record of Arrest and Prosecutions Back) is a notification DHS receives about new potentially disqualifying information for a background study subject with an active provider roster affiliation.
9. An **eligible rapback determination** means that the information received through the rapback process was found to belong to the study subject, but after review was determined to not be a disqualifying crime or conduct.
10. The Race/Ethnicity categories captured in the Background Studies system and used in the summarized data above are collected for purposes of a background study fingerprint study. These categories are determined by the FBI CJIS policy and must be used for fingerprint background studies. DHS does not collect other race/ethnicity data that would allow for different or more detailed break outs of racial or ethnic groups.
11. **Risk of Harm** is the assessed immediate risk of harm the determination subject poses to the persons receiving services that the subject will have direct contact with or direct access to.
  - a. **Low:** It is determined that “an individual studied does not pose a risk of harm that requires continuous, direct supervision”. A low risk of harm individual is allowed to continue providing services during their reconsideration request period and has 15 days to request a reconsideration. ([Minn. Stat. sec. 245C.17 subd. 3](#))
  - b. **Medium:** It is determined that “an individual studied poses a risk of harm that requires continuous, direct supervision”. A medium risk of harm individual is allowed to continue providing services under continuous and direct supervision during their reconsideration request period and has 30 days to request a reconsideration. ([Minn. Stat. sec. 245C.17 subd. 3](#))
  - c. **High:** It is determined that “an individual studied poses an imminent risk of harm”. A high risk of harm individual must be immediately removed from service and has 30 days to request a reconsideration. ([Minn. Stat. sec. 245C.17 subd. 3](#))
  - d. **Not Applicable:** The risk of harm category in cases where an individual is not allowed to provide services until either an eligible determination, a set aside, or a variance has been obtained
12. A **conviction disqualification** is based on a conviction, regardless of whether it was preceded by a plea or a trial.
13. An **admission disqualification** is based on guilty pleas without convictions, guilty pleas with stays of adjudication, or admissions in juvenile court without adjudication.
14. An **Alford Plea disqualification** is based on Alford pleas without convictions or with stays of adjudication, or Alford pleas in juvenile court without adjudication. An Alford Plea is similar to an out of state offense with a no contest plea.
15. A **preponderance of disqualification** is based on a determination by DHS that it is more likely than not that investigation, court, maltreatment, and other relevant records support a finding that the subject committed disqualifying conduct
16. A **maltreatment disqualification** is based on a determination by DHS that records of substantiated maltreatment or substantiated failure to report maltreatment meet the definitions of serious and/or recurring in [Minn. Stat. sec. 245C.02](#)

17. An **Involuntary Termination of Parental Rights disqualification** is based on terminations of parental rights under the standards of [Minn. Stat. sec. 260C.301 subd. 1\(b\)](#) or subd. 3
18. An **administrative disqualification** is based on a stipulation or finding by a judge that the subject is disqualified for wrongfully obtaining assistance under [Minn. Stat. sec. 256.98 subd. 8](#)